

Water Indicators

| Indicator | Value | Description | Source |
|---|-------|---|--------|
| Overall Basin Risk (score) | 2.48 | Overall Basin Risk (score) | |
| Overall Basin Risk (rank) | 129 | Overall Basin Risk (rank) | |
| Physical risk (score) | 2.37 | Physical risk (score) | |
| Physical risk (rank) | 129 | Physical risk (rank) | |
| Regulatory risk (score) | 3.24 | Regulatory risk (score) | |
| Regulatory risk (rank) | 42 | Regulatory risk (rank) | |
| Reputation risk (score) | 2.07 | Reputation risk (score) | |
| Reputation risk (rank) | 181 | Reputation risk (rank) | |
| 1. Quantity - Scarcity (score) | 2.44 | 1. Quantity - Scarcity (score) | |
| 1. Quantity - Scarcity (rank) | 72 | 1. Quantity - Scarcity (rank) | |
| 2. Quantity - Flooding (score) | 1.91 | 2. Quantity - Flooding (score) | |
| 2. Quantity - Flooding (rank) | 167 | 2. Quantity - Flooding (rank) | |
| 3. Quality (score) | 3.05 | 3. Quality (score) | |
| 3. Quality (rank) | 89 | 3. Quality (rank) | |
| 4. Ecosystem Service Status (score) | 1.96 | 4. Ecosystem Service Status (score) | |
| 4. Ecosystem Service Status (rank) | 131 | 4. Ecosystem Service Status (rank) | |
| 5. Enabling Environment (Policy & Laws) (score) | 3.45 | 5. Enabling Environment (Policy & Laws) (score) | |
| 5. Enabling Environment (Policy & Laws) (rank) | 36 | 5. Enabling Environment (Policy & Laws) (rank) | |
| 6. Institutions and Governance (score) | 4.25 | 6. Institutions and Governance (score) | |
| 6. Institutions and Governance (rank) | 22 | 6. Institutions and Governance (rank) | |
| 7. Management Instruments (score) | 2.96 | 7. Management Instruments (score) | |
| 7. Management Instruments (rank) | 85 | 7. Management Instruments (rank) | |
| 8 - Infrastructure & Finance (score) | 1.30 | 8 - Infrastructure & Finance (score) | |
| 8 - Infrastructure & Finance (rank) | 127 | 8 - Infrastructure & Finance (rank) | |
| 9. Cultural Diversity (score) | 1.00 | 9. Cultural importance (score) | |
| 9. Cultural Diversity (rank) | 172 | 9. Cultural importance (rank) | |
| 10. Biodiversity Importance (score) | 2.32 | 10. Biodiversity importance (score) | |



| Indicator | Value | Description | Source |
|-------------------------------------|-------|--|---|
| 10. Biodiversity Importance (rank) | 175 | 10. Biodiversity importance (rank) | |
| 11. Media Scrutiny (score) | 2.10 | 11. Media Scrutiny (score) | |
| 11. Media Scrutiny (rank) | 167 | 11. Media Scrutiny (rank) | |
| 12. Conflict (score) | 2.51 | 12. Conflict (score) | |
| 12. Conflict (rank) | 93 | 12. Conflict (rank) | |
| 1.0 - Aridity (score) | 3.22 | The aridity risk indicator is based on the Global Aridity Index (Global- Aridity) and Global Potential Evapo-Transpiration (Global-PET) Geospatial data sets by Trabucco and Zomer (2009). These data sets provide information about the potential availability of water in regions with low water demand, thus they are used in the Water Risk Filter 5.0 to better account for deserts and other arid areas in the risk assessment. | Trabucco, A., & Zomer, R. J. (2009). Global potential evapo-transpiration (Global-PET) and global aridity index (Global-Aridity) geo- database. CGIAR consortium for spatial information. |
| 1.0 - Aridity (rank) | 34 | The aridity risk indicator is based on the Global Aridity Index (Global- Aridity) and Global Potential Evapo-Transpiration (Global-PET) Geospatial data sets by Trabucco and Zomer (2009). These data sets provide information about the potential availability of water in regions with low water demand, thus they are used in the Water Risk Filter 5.0 to better account for deserts and other arid areas in the risk assessment. | Trabucco, A., & Zomer, R. J. (2009). Global potential evapo-transpiration (Global-PET) and global aridity index (Global-Aridity) geo- database. CGIAR consortium for spatial information. |
| 1.1 - Water Depletion (score) | 2.01 | The water depletion risk indicator is based on annual average monthly net water depletion from Brauman et al. (2016). Their analysis is based on model outputs from the newest version of the integrated water resources model WaterGAP3 which measures water depletion as the ratio of water consumption-to-availability. | Brauman, K. A., Richter, B. D., Postel, S., Malsy, M., & Flörke, M. (2016). Water depletion: An improved metric for incorporating seasonal and dry-year water scarcity into water risk assessments. Elem Sci Anth, 4. |
| 1.1 - Water Depletion (rank) | 83 | The water depletion risk indicator is based on annual average monthly net water depletion from Brauman et al. (2016). Their analysis is based on model outputs from the newest version of the integrated water resources model WaterGAP3 which measures water depletion as the ratio of water consumption-to-availability. | Brauman, K. A., Richter, B. D., Postel, S., Malsy, M., & Flörke, M. (2016). Water depletion: An improved metric for incorporating seasonal and dry-year water scarcity into water risk assessments. Elem Sci Anth, 4. |
| 1.2 - Baseline Water Stress (score) | 2.82 | World Resources Institute's Baseline Water Stress measures the ratio of total annual water withdrawals to total available annual renewable supply, accounting for upstream consumptive use. A higher percentage indicates more competition among users. | Hofste, R., Kuzma, S., Walker, S., & Sutanudjaja, E.H. (2019). Aqueduct 3.0: Updated decision relevant global water risk indicators. Technical note. Washington, DC: World Resources Institute. |



| Indicator | Value | Description | Source |
|---|-------|--|--|
| 1.2 - Baseline Water Stress (rank) | 65 | World Resources Institute's Baseline Water Stress measures the ratio of total annual water withdrawals to total available annual renewable supply, accounting for upstream consumptive use. A higher percentage indicates more competition among users. | Hofste, R., Kuzma, S., Walker, S., & Sutanudjaja, E.H. (2019). Aqueduct 3.0: Updated decision relevant global water risk indicators. Technical note. Washington, DC: World Resources Institute. |
| 1.3 - Blue Water Scarcity (score) | 2.41 | The blue water scarcity risk indicator is based on Mekonnen and Hoekstra (2016) global assessment of blue water scarcity on a monthly basis and at high spatial resolution (grid cells of 30 × 30 arc min resolution). Blue water scarcity is calculated as the ratio of the blue water footprint in a grid cell to the total blue water availability in the cell. The time period analyzed in this study ranges from 1996 to 2005. | Mekonnen, M. M., & Hoekstra, A. Y. (2016). Four billion people facing severe water scarcity. Science advances, 2(2), e1500323. |
| 1.3 - Blue Water Scarcity (rank) | 101 | The blue water scarcity risk indicator is based on Mekonnen and Hoekstra (2016) global assessment of blue water scarcity on a monthly basis and at high spatial resolution (grid cells of 30 × 30 arc min resolution). Blue water scarcity is calculated as the ratio of the blue water footprint in a grid cell to the total blue water availability in the cell. The time period analyzed in this study ranges from 1996 to 2005. | Mekonnen, M. M., & Hoekstra, A. Y. (2016). Four billion people facing severe water scarcity. Science advances, 2(2), e1500323. |
| 1.4 - Projected Change in Water Discharge (by ~2050) (score) | 1.46 | This risk indicator is based on multi-model simulation that applies both global climate and hydrological models from the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP). To estimate the change at 2°C of global warming above 1980-2010 levels, simulated annual water discharge was averaged over a 31-year period with 2°C mean warming. Results are expressed in terms of relative change (%) in probability between present day (1980-2010) conditions and 2°C scenarios by 2050. | Schewe, J., Heinke, J., Gerten, D., Haddeland, I., Arnell, N. W., Clark, D. B., & Gosling, S. N. (2014). Multimodel assessment of water scarcity under climate change. Proceedings of the National Academy of Sciences, 111(9), 3245- 3250. |
| 1.4 - Projected Change in Water Discharge (by ~2050) (rank) | 134 | This risk indicator is based on multi-model simulation that applies both global climate and hydrological models from the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP). To estimate the change at 2°C of global warming above 1980-2010 levels, simulated annual water discharge was averaged over a 31-year period with 2°C mean warming. Results are expressed in terms of relative change (%) in probability between present day (1980-2010) conditions and 2°C scenarios by 2050. | Schewe, J., Heinke, J., Gerten, D., Haddeland, I., Arnell, N. W., Clark, D. B., & Gosling, S. N. (2014). Multimodel assessment of water scarcity under climate change. Proceedings of the National Academy of Sciences, 111(9), 3245- 3250. |



| Indicator | Value | Description | Source |
|--|-------|---|---|
| 1.5 - Drought Frequency Probability (score) | 2.27 | This risk indicator is based on the Standardized Precipitation and Evaporation Index (SPEI). Vicente-Serrano et al. (2010) developed this multi-scalar drought index applying both precipitation and temperature data to detect, monitor and analyze different drought types and impacts in the context of global warming. The mathematical calculations used for SPEI are similar to the Standard Precipitation Index (SPI), but it has the advantage to include the role of evapotranspiration. | Vicente-Serrano, S. M., Beguería, S., & López- Moreno, J. I. (2010). A multiscalar drought index sensitive to global warming: the standardized precipitation evapotranspiration index. Journal of climate, 23(7), 1696-1718. |
| 1.5 - Drought Frequency Probability (rank) | 108 | This risk indicator is based on the Standardized Precipitation and Evaporation Index (SPEI). Vicente-Serrano et al. (2010) developed this multi-scalar drought index applying both precipitation and temperature data to detect, monitor and analyze different drought types and impacts in the context of global warming. The mathematical calculations used for SPEI are similar to the Standard Precipitation Index (SPI), but it has the advantage to include the role of evapotranspiration. | Vicente-Serrano, S. M., Beguería, S., & López- Moreno, J. I. (2010). A multiscalar drought index sensitive to global warming: the standardized precipitation evapotranspiration index. Journal of climate, 23(7), 1696-1718. |
| 1.6 - Projected Change in Drought Occurrence (by ~2050) (score) | 2.91 | This risk indicator is based on multi-model simulation that applies both global climate and drought models from the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP) . A drought threshold for pre-industrial conditions was calculated based on time-series averages. Results are expressed in terms of relative change (%) in probability between pre- industrial and 2°C scenarios. | Frieler, K., Lange, S., Piontek, F., Reyer, C. P., Schewe, J., Warszawski, L., & Geiger, T. (2017). Assessing the impacts of 1.5 C global warming–simulation protocol of the Inter- Sectoral Impact Model Intercomparison Project (ISIMIP2b). Geoscientific Model Development. |
| 1.6 - Projected Change in Drought Occurrence (by ~2050) (rank) | 171 | This risk indicator is based on multi-model simulation that applies both global climate and drought models from the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP) . A drought threshold for pre-industrial conditions was calculated based on time-series averages. Results are expressed in terms of relative change (%) in probability between pre- industrial and 2°C scenarios. | Frieler, K., Lange, S., Piontek, F., Reyer, C. P., Schewe, J., Warszawski, L., & Geiger, T. (2017). Assessing the impacts of 1.5 C global warming–simulation protocol of the Inter- Sectoral Impact Model Intercomparison Project (ISIMIP2b). Geoscientific Model Development. |
| 2.1 - Estimated Flood Occurrence (score) | 1.88 | This risk indicator is based on the recurrence of floods within the 34-year time frame period of 1985 to 2019. The occurrence of floods within a given location was estimated using data from Flood Observatory, University of Colorado. The Flood Observatory use data derived from a wide variety of news, governmental, instrumental, and remote sensing source. | Brakenridge, G. R. (2019). Global active archive of large flood events. Dartmouth Flood Observatory, University of Colorado. |
| 2.1 - Estimated Flood Occurrence (rank) | 168 | This risk indicator is based on the recurrence of floods within the 34-year time frame period of 1985 to 2019. The occurrence of floods within a given location was estimated using data from Flood Observatory, University of Colorado. The Flood Observatory use data derived from a wide variety of news, governmental, instrumental, and remote sensing source. | Brakenridge, G. R. (2019). Global active archive of large flood events. Dartmouth Flood Observatory, University of Colorado. |



| Indicator | Value | Description | Source |
|--|-------|--|---|
| 2.2 - Projected Change in Flood Occurrence (by ~2050) (score) | 2.42 | This risk indicator is based on multi-model simulation that applies both global climate and drought models from the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP). The magnitude of the flood event was defined based on 100-year return period for pre-industrial conditions. Results are expressed in terms of change (%) in probability between pre- industrial and 2°C scenarios. | Frieler, K., Lange, S., Piontek, F., Reyer, C. P., Schewe, J., Warszawski, L., & Geiger, T. (2017). Assessing the impacts of 1.5 C global warming–simulation protocol of the Inter- Sectoral Impact Model Intercomparison Project (ISIMIP2b). Geoscientific Model Development. |
| 2.2 - Projected Change in Flood Occurrence (by ~2050) (rank) | 86 | This risk indicator is based on multi-model simulation that applies both global climate and drought models from the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP). The magnitude of the flood event was defined based on 100-year return period for pre-industrial conditions. Results are expressed in terms of change (%) in probability between pre- industrial and 2°C scenarios. | Frieler, K., Lange, S., Piontek, F., Reyer, C. P., Schewe, J., Warszawski, L., & Geiger, T. (2017). Assessing the impacts of 1.5 C global warming-simulation protocol of the Inter- Sectoral Impact Model Intercomparison Project (ISIMIP2b). Geoscientific Model Development. |
| 3.1 - Surface Water Contamination Index (score) | 3.05 | The underlying data for this risk indicator is based on a broad suite of pollutants with well-documented direct or indirect negative effects on water security for both humans and freshwater biodiversity, compiled by Vörösmarty et al. (2010). The negative effects are specific to individual pollutants, ranging from impacts mediated by eutrophication such as algal blooms and oxygen depletion (e.g., caused by phosphorus and organic loading) to direct toxic effects (e.g., caused by pesticides, mercury). The overall Surface Water Contamination Index is calculated based on a range of key pollutants with different weightings according to the level of their negative effects on water security for both humans and freshwater biodiversity: soil salinization (8%), nitrogen (12%) and phosphorus (P, 13%) loading, mercury deposition (5%), pesticide loading (10%), sediment loading (17%), organic loading (as Biological Oxygen Demand, BOD; 15%), pestorial acidification (0%) and thermal alteration (11%). | Vörösmarty, C. J., McIntyre, P. B., Gessner, M. O., Dudgeon, D., Prusevich, A., Green, P., & Davies, P. M. (2010). Global threats to human water security and river biodiversity. Nature, 467(7315), 555. |



| Indicator | Value | Description | Source |
|---|-------|--|--|
| 3.1 - Surface Water Contamination Index (rank) | 89 | The underlying data for this risk indicator is based on a broad suite of pollutants with well-documented direct or indirect negative effects on water security for both humans and freshwater biodiversity, compiled by Vörösmarty et al. (2010). The negative effects are specific to individual pollutants, ranging from impacts mediated by eutrophication such as algal blooms and oxygen depletion (e.g., caused by phosphorus and organic loading) to direct toxic effects (e.g., caused by pesticides, mercury). The overall Surface Water Contamination Index is calculated based on a range of key pollutants with different weightings according to the level of their negative effects on water security for both humans and freshwater biodiversity: soil salinization (8%), nitrogen (12%) and phosphorus (P, 13%) loading, mercury deposition (5%), pesticide loading (10%), sediment loading (17%), organic loading (as Biological Oxygen Demand, BOD; 15%), pesticide loated based (14%) | Vörösmarty, C. J., McIntyre, P. B., Gessner, M. O., Dudgeon, D., Prusevich, A., Green, P., & Davies, P. M. (2010). Global threats to human water security and river biodiversity. Nature, 467(7315), 555. |
| 4.1 - Fragmentation Status of Rivers (score) | 2.16 | This risk indicator is based on the data set by Grill et al. (2019) mapping the world's free-flowing rivers. Grill et al. (2019) compiled a geometric network of the global river system and associated attributes, such as hydro-geometric properties, as well as pressure indicators to calculate an integrated connectivity status index (CSI). While only rivers with high levels of connectivity in their entire length are classified as free-flowing, rivers of CSI < 95% are considered as fragmented at a certain degree. | Grill, G., Lehner, B., Thieme, M., Geenen, B., Tickner, D., Antonelli, F., & Macedo, H. E. (2019). Mapping the world's free-flowing rivers. Nature, 569(7755), 215. |
| 4.1 - Fragmentation Status of Rivers (rank) | 108 | This risk indicator is based on the data set by Grill et al. (2019) mapping the world's free-flowing rivers. Grill et al. (2019) compiled a geometric network of the global river system and associated attributes, such as hydro-geometric properties, as well as pressure indicators to calculate an integrated connectivity status index (CSI). While only rivers with high levels of connectivity in their entire length are classified as free-flowing, rivers of CSI < 95% are considered as fragmented at a certain degree. | Grill, G., Lehner, B., Thieme, M., Geenen, B., Tickner, D., Antonelli, F., & Macedo, H. E. (2019). Mapping the world's free-flowing rivers. Nature, 569(7755), 215. |
| 4.2 - Catchment Ecosystem Services Degradation Level (tree cover loss) (score) | 1.02 | For this risk indicator, tree cover loss was applied as a proxy to represent catchment ecosystem services degradation since forests play an important role in terms of water regulation, supply and pollution control. The forest cover data is based on Hansen et al.'s global Landsat data at a 30-meter spatial resolution to characterize forest cover and change. The authors defined trees as vegetation taller than 5 meters in height, and forest cover loss as a stand-replacement disturbance, or a change from a forest to non-forest state, during the period 2000 – 2018. | Hansen, M. C., Potapov, P. V., Moore, R., Hancher, M., Turubanova, S. A. A., Tyukavina, A., & Kommareddy, A. (2013). High-resolution global maps of 21st-century forest cover change. science, 342(6160), 850-853. |



| Indicator | Value | Description | Source |
|--|-------|---|--|
| 4.2 - Catchment Ecosystem Services Degradation Level (tree cover loss) (rank) | 147 | For this risk indicator, tree cover loss was applied as a proxy to represent catchment ecosystem services degradation since forests play an important role in terms of water regulation, supply and pollution control. The forest cover data is based on Hansen et al.'s global Landsat data at a 30-meter spatial resolution to characterize forest cover and change. The authors defined trees as vegetation taller than 5 meters in height, and forest cover loss as a stand-replacement disturbance, or a change from a forest to non-forest state, during the period 2000 – 2018. | Hansen, M. C., Potapov, P. V., Moore, R., Hancher, M., Turubanova, S. A. A., Tyukavina, A., & Kommareddy, A. (2013). High-resolution global maps of 21st-century forest cover change. science, 342(6160), 850-853. |
| 4.3 - Projected Impacts on Freshwater Biodiversity (score) | 3.85 | The study by Tedesco et al. (2013) to project changes [% increase or decrease] in extinction rate by ~2090 of freshwater fish due to water availability loss from climate change is used as a proxy to estimate the projected impacts on freshwater biodiversity. | Tedesco, P. A., Oberdorff, T., Cornu, J. F., Beauchard, O., Brosse, S., Dürr, H. H., & Hugueny, B. (2013). A scenario for impacts of water availability loss due to climate change on riverine fish extinction rates. Journal of Applied Ecology, 50(5), 1105-1115. |
| 4.3 - Projected Impacts on Freshwater Biodiversity (rank) | 38 | The study by Tedesco et al. (2013) to project changes [% increase or decrease] in extinction rate by ~2090 of freshwater fish due to water availability loss from climate change is used as a proxy to estimate the projected impacts on freshwater biodiversity. | Tedesco, P. A., Oberdorff, T., Cornu, J. F., Beauchard, O., Brosse, S., Dürr, H. H., & Hugueny, B. (2013). A scenario for impacts of water availability loss due to climate change on riverine fish extinction rates. Journal of Applied Ecology, 50(5), 1105-1115. |
| 5.1 - Freshwater Policy Status (SDG 6.5.1) (score) | 4.00 | This risk indicator is based on SDG 6.5.1. Degree of IWRM Implementation "National Water Resources Policy" indicator, which corresponds to one of the three national level indicators under the Enabling Environment category. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |
| 5.1 - Freshwater Policy Status (SDG 6.5.1) (rank) | 26 | This risk indicator is based on SDG 6.5.1. Degree of IWRM Implementation "National Water Resources Policy" indicator, which corresponds to one of the three national level indicators under the Enabling Environment category. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |
| 5.2 - Freshwater Law Status (SDG 6.5.1) (score) | 3.00 | This risk indicator is based on SDG 6.5.1. Degree of IWRM Implementation "National Water Resources Law(s)" indicator, which corresponds to one of the three national level indicators under the Enabling Environment category. For SDG 6.5.1, enabling environment depicts the conditions that help to support the implementation of IWRM, which includes legal and strategic planning tools for IWRM. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |



| Indicator | Value | Description | Source |
|--|-------|--|--|
| 5.2 - Freshwater Law Status (SDG 6.5.1) (rank) | 91 | This risk indicator is based on SDG 6.5.1. Degree of IWRM Implementation "National Water Resources Law(s)" indicator, which corresponds to one of the three national level indicators under the Enabling Environment category. For SDG 6.5.1, enabling environment depicts the conditions that help to support the implementation of IWRM, which includes legal and strategic environment. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |
| 5.3 - Implementation Status of Water Management Plans (SDG 6.5.1) (score) | 4.00 | This risk indicator is based on SDG 6.5.1. Degree of IWRM Implementation "National IWRM plans" indicator, which corresponds to one of the three national level indicators under the Enabling Environment category. For SDG 6.5.1, enabling environment depicts the conditions that help to support the implementation of IWRM, which includes legal and strategic planning tools for IWRM. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |
| 5.3 - Implementation Status of Water Management Plans (SDG 6.5.1) (rank) | 33 | This risk indicator is based on SDG 6.5.1. Degree of IWRM Implementation "National IWRM plans" indicator, which corresponds to one of the three national level indicators under the Enabling Environment category. For SDG 6.5.1, enabling environment depicts the conditions that help to support the implementation of IWRM, which includes legal and strategic planning tools for IWRM. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |
| 6.1 - Corruption Perceptions Index (score) | 4.00 | This risk Indicator is based on the latest Transparency International's data: the Corruption Perceptions Index 2018. This index aggregates data from a number of different sources that provide perceptions of business people and country experts on the level of corruption in the public sector. | Transparency International (2019). Corruption Perceptions Index 2018. Berlin: Transparency International. |
| 6.1 - Corruption Perceptions Index (rank) | 77 | This risk Indicator is based on the latest Transparency International's data: the Corruption Perceptions Index 2018. This index aggregates data from a number of different sources that provide perceptions of business people and country experts on the level of corruption in the public sector. | Transparency International (2019). Corruption Perceptions Index 2018. Berlin: Transparency International. |
| 6.2 - Freedom in the World Index (score) | 5.00 | This risk indicator is based on Freedom House (2019), an annual global report on political rights and civil liberties, composed of numerical ratings and descriptive texts for each country and a select group of territories. The 2019 edition involved more than 100 analysts and more than 30 advisers with global, regional, and issue-based expertise to covers developments in 195 countries and 14 territories from January 1, 2018, through December 31, 2018. | Freedom House (2019). Freedom in the world 2019. Washington, DC: Freedom House. |



| Indicator | Value | Description | Source |
|--|-------|--|--|
| 6.2 - Freedom in the World Index (rank) | 34 | This risk indicator is based on Freedom House (2019), an annual global report on political rights and civil liberties, composed of numerical ratings and descriptive texts for each country and a select group of territories. The 2019 edition involved more than 100 analysts and more than 30 advisers with global, regional, and issue-based expertise to covers developments in 195 countries and 14 territories from January 1, 2018, through December 31, 2018. | Freedom House (2019). Freedom in the world 2019. Washington, DC: Freedom House. |
| 6.3 - Business Participation in Water Management (SDG 6.5.1) (score) | 4.00 | This risk indicator is based on SDG 6.5.1. Degree of IWRM Implementation "Business Participation in Water Resources Development, Management and Use" indicator, which corresponds to one of the six national level indicators under the Institutions and Participation category. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |
| 6.3 - Business Participation in Water Management (SDG 6.5.1) (rank) | 28 | This risk indicator is based on SDG 6.5.1. Degree of IWRM Implementation "Business Participation in Water Resources Development, Management and Use" indicator, which corresponds to one of the six national level indicators under the Institutions and Participation category. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |
| 7.1 - Management Instruments for Water Management (SDG 6.5.1) (score) | 3.00 | This risk indicator is based on SDG 6.5.1. Degree of IWRM Implementation "Sustainable and efficient water use management" indicator, which corresponds to one of the five national level indicators under the Management Instruments category. For SDG 6.5.1, management instruments refer to the tools and activities that enable decision-makers and users to make rational and informed choices between alternative actions. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |
| 7.1 - Management Instruments for Water Management (SDG 6.5.1) (rank) | 91 | This risk indicator is based on SDG 6.5.1. Degree of IWRM Implementation "Sustainable and efficient water use management" indicator, which corresponds to one of the five national level indicators under the Management Instruments category. For SDG 6.5.1, management instruments refer to the tools and activities that enable decision-makers and users to make rational and informed choices between alternative actions. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |



| Indicator | Value | Description | Source |
|--|-------|---|---|
| 7.2 - Groundwater Monitoring Data Availability and Management (score) | 3.00 | This risk indicator is based on the data set by UN IGRAC (2019) to determine the level of availability of groundwater monitoring data at country level as groundwater management decisions rely strongly on data availability. The level of groundwater monitoring data availability for groundwater management is determined according to a combination of three criteria developed by WWF and IGRAC: 1) Status of country groundwater monitoring programme, 2) groundwater data availability for NGOs and 3) Public access to processed groundwater monitoring data. | UN IGRAC (2019). Global Groundwater Monitoring Network GGMN Portal. UN International Groundwater Resources Assessment Centre (IGRAC). |
| 7.2 - Groundwater Monitoring Data Availability and Management (rank) | 106 | This risk indicator is based on the data set by UN IGRAC (2019) to determine the level of availability of groundwater monitoring data at country level as groundwater management decisions rely strongly on data availability. The level of groundwater monitoring data availability for groundwater management is determined according to a combination of three criteria developed by WWF and IGRAC: 1) Status of country groundwater monitoring programme, 2) groundwater data availability for NGOs and 3) Public access to processed groundwater monitoring data. | UN IGRAC (2019). Global Groundwater Monitoring Network GGMN Portal. UN International Groundwater Resources Assessment Centre (IGRAC). |
| 7.3 - Density of Runoff Monitoring Stations (score) | 2.73 | The density of monitoring stations for water quantity was applied as proxy to develop this risk indicator. The Global Runoff Data Base was used to estimate the number of monitoring stations per 1000km2 of the main river system (data base access date: May 2018). | BfG (2019). Global Runoff Data Base. German Federal Institute of Hydrology (BfG). |
| 7.3 - Density of Runoff Monitoring Stations (rank) | 133 | The density of monitoring stations for water quantity was applied as proxy to develop this risk indicator. The Global Runoff Data Base was used to estimate the number of monitoring stations per 1000km2 of the main river system (data base access date: May 2018). | BfG (2019). Global Runoff Data Base. German Federal Institute of Hydrology (BfG). |
| 8.1 - Access to Safe Drinking Water (score) | 1.00 | This risk indicator is based on the Joint Monitoring Programme for Water Supply, Sanitation and Hygiene (UNICEF/WHO) 2019 data. It provides estimates on the use of water, sanitation and hygiene by country for the period 2000-2017. | WHO & UNICEF (2019). Estimates on the use of water, sanitation and hygiene by country (2000- 2017). Joint Monitoring Programme for Water Supply, Sanitation and Hygiene. |
| 8.1 - Access to Safe Drinking Water (rank) | 146 | This risk indicator is based on the Joint Monitoring Programme for Water Supply, Sanitation and Hygiene (UNICEF/WHO) 2019 data. It provides estimates on the use of water, sanitation and hygiene by country for the period 2000-2017. | WHO & UNICEF (2019). Estimates on the use of water, sanitation and hygiene by country (2000- 2017). Joint Monitoring Programme for Water Supply, Sanitation and Hygiene. |
| 8.2 - Access to Sanitation (score) | 1.00 | This risk indicator is based on the Joint Monitoring Programme for Water Supply, Sanitation and Hygiene (UNICEF/WHO) 2019 data. It provides estimates on the use of water, sanitation and hygiene by country for the period 2000-2017. | WHO & UNICEF (2019). Estimates on the use of water, sanitation and hygiene by country (2000- 2017). Joint Monitoring Programme for Water Supply, Sanitation and Hygiene. |



| Indicator | Value | Description | Source |
|--|-------|---|--|
| 8.2 - Access to Sanitation (rank) | 163 | This risk indicator is based on the Joint Monitoring Programme for Water Supply, Sanitation and Hygiene (UNICEF/WHO) 2019 data. It provides estimates on the use of water, sanitation and hygiene by country for the period 2000-2017. | WHO & UNICEF (2019). Estimates on the use of water, sanitation and hygiene by country (2000- 2017). Joint Monitoring Programme for Water Supply, Sanitation and Hygiene. |
| 8.3 - Financing for Water Resource Development and Management (SDG 6.5.1) (score) | 4.00 | This risk indicator is based on the average 'Financing' score of UN SDG 6.5.1. Degree of IWRM Implementation database. UN SDG 6.5.1 database contains a category on financing which assesses different aspects related to budgeting and financing made available and used for water resources development and management from various sources. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |
| 8.3 - Financing for Water Resource Development and Management (SDG 6.5.1) (rank) | 45 | This risk indicator is based on the average 'Financing' score of UN SDG 6.5.1. Degree of IWRM Implementation database. UN SDG 6.5.1 database contains a category on financing which assesses different aspects related to budgeting and financing made available and used for water resources development and management from various sources. | UN Environment (2018). Progress on integrated water resources management. Global baseline for SDG 6 Indicator 6.5.1: degree of IWRM implementation. |
| 9.1 - Cultural Diversity (score) | 1.00 | Water is a social and cultural good. The cultural diversity risk indicator was included in order to acknowledge that businesses face reputational risk due to the importance of freshwater for indigenous and traditional people in their daily life, religion and culture. This risk indicator is based on Oviedo and Larsen (2000) data set, which mapped the world's ethnolinguistic groups onto the WWF map of the world's ecoregions. This cross-mapping showed for the very first time the significant overlap that exists between the global geographic distribution of biodiversity and that of linguistic diversity. | Oviedo, G., Maffi, L., & Larsen, P. B. (2000). Indigenous and traditional peoples of the world and ecoregion conservation: An integrated approach to conserving the world's biological and cultural diversity. Gland: WWF (World Wide Fund for Nature) International. |
| 9.1 - Cultural Diversity (rank) | 172 | Water is a social and cultural good. The cultural diversity risk indicator was included in order to acknowledge that businesses face reputational risk due to the importance of freshwater for indigenous and traditional people in their daily life, religion and culture. This risk indicator is based on Oviedo and Larsen (2000) data set, which mapped the world's ethnolinguistic groups onto the WWF map of the world's ecoregions. This cross-mapping showed for the very first time the significant overlap that exists between the global geographic distribution of biodiversity and that of linguistic diversity. | Oviedo, G., Maffi, L., & Larsen, P. B. (2000). Indigenous and traditional peoples of the world and ecoregion conservation: An integrated approach to conserving the world's biological and cultural diversity. Gland: WWF (World Wide Fund for Nature) International. |
| 10.1 - Freshwater Endemism (score) | 2.29 | The underlying data set for this risk indicator comes from the Freshwater Ecoregions of the World (FEOW) 2015 data developed by WWF and TNC. Companies operating in basins with higher number of endemic fish species are exposed to higher reputational risks. | WWF & TNC (2015). Freshwater Ecoregions of the World. |



| Indicator | Value | Description | Source |
|---|-------|--|---|
| 10.1 - Freshwater Endemism (rank) | 164 | The underlying data set for this risk indicator comes from the Freshwater Ecoregions of the World (FEOW) 2015 data developed by WWF and TNC. Companies operating in basins with higher number of endemic fish species are exposed to higher reputational risks. | WWF & TNC (2015). Freshwater Ecoregions of the World. |
| 10.2 - Freshwater Biodiversity Richness (score) | 2.34 | The underlying data set for this risk indicator comes from the Freshwater Ecoregions of the World (FEOW) 2015 data developed by WWF and TNC. Count of fish species is used as a representation of freshwater biodiversity richness. Companies operating in basins with higher number of fish species are exposed to higher reputational risks. | WWF & TNC (2015). Freshwater Ecoregions of the World. |
| 10.2 - Freshwater Biodiversity Richness (rank) | 137 | The underlying data set for this risk indicator comes from the Freshwater Ecoregions of the World (FEOW) 2015 data developed by WWF and TNC. Count of fish species is used as a representation of freshwater biodiversity richness. Companies operating in basins with higher number of fish species are exposed to higher reputational risks. | WWF & TNC (2015). Freshwater Ecoregions of the World. |
| 11.1 - National Media Coverage (score) | 3.00 | This risk indicator is based on joint qualitative research by WWF and Tecnoma (Typsa Group). It indicates how aware local residents typically are of water-related issues due to national media coverage. The status of the river basin (e.g., scarcity and pollution) is taken into account, as well as the importance of water for livelihoods (e.g., food and shelter). | WWF & Tecnoma (TYPSA Group) |
| 11.1 - National Media Coverage (rank) | 140 | This risk indicator is based on joint qualitative research by WWF and Tecnoma (Typsa Group). It indicates how aware local residents typically are of water-related issues due to national media coverage. The status of the river basin (e.g., scarcity and pollution) is taken into account, as well as the importance of water for livelihoods (e.g., food and shelter). | WWF & Tecnoma (TYPSA Group) |
| 11.2 - Global Media Coverage (score) | 1.00 | This risk indicator is based on joint qualitative research by WWF and Tecnoma (Typsa Group). It indicates how aware people are of water- related issues due to global media coverage. Familiarity to and media coverage of the region and regional water-related disasters are taken into account. | WWF & Tecnoma (TYPSA Group) |
| 11.2 - Global Media Coverage (rank) | 173 | This risk indicator is based on joint qualitative research by WWF and Tecnoma (Typsa Group). It indicates how aware people are of water- related issues due to global media coverage. Familiarity to and media coverage of the region and regional water-related disasters are taken into account. | WWF & Tecnoma (TYPSA Group) |



| Indicator | Value | Description | Source |
|---|--------------|--|---|
| 12.1 - Conflict News Events (RepRisk) (score) | 3.00 | This risk indicator is based on 2018 data collected by RepRisk on counts and registers of documented negative incidents, criticism and controversies that can affect a company's reputational risk. These negative news events are labelled per country and industry class. | RepRisk & WWF (2019). Due diligence database on ESG and business conduct risks. RepRisk. |
| 12.1 - Conflict News Events (RepRisk) (rank) | 93 | This risk indicator is based on 2018 data collected by RepRisk on counts and registers of documented negative incidents, criticism and controversies that can affect a company's reputational risk. These negative news events are labelled per country and industry class. | RepRisk & WWF (2019). Due diligence database on ESG and business conduct risks. RepRisk. |
| 12.2 - Hydro-political Risk (score) | 2.02 | This risk indicator is based on the assessment of hydro-political risk by Farinosi et al. (2018). More specifically, it is based on the results of spatial modelling by Farinosi et al. (2018) that determined the main parameters affecting water cross-border conflicts and calculated the likelihood of hydro-political issues. | Farinosi, F., Giupponi, C., Reynaud, A., Ceccherini, G., Carmona-Moreno, C., De Roo, A., & Bidoglio, G. (2018). An innovative approach to the assessment of hydro-political risk: A spatially explicit, data driven indicator of hydro- political issues. Global environmental change, 52, 286-313. |
| 12.2 - Hydro-political Risk (rank) | 124 | This risk indicator is based on the assessment of hydro-political risk by Farinosi et al. (2018). More specifically, it is based on the results of spatial modelling by Farinosi et al. (2018) that determined the main parameters affecting water cross-border conflicts and calculated the likelihood of hydro-political issues. | Farinosi, F., Giupponi, C., Reynaud, A., Ceccherini, G., Carmona-Moreno, C., De Roo, A., & Bidoglio, G. (2018). An innovative approach to the assessment of hydro-political risk: A spatially explicit, data driven indicator of hydro- political issues. Global environmental change, 52, 286-313. |
| Population, total (#) | 17797032 | Population, total | The World Bank 2018, Data , hompage accessed 20/04/2018 |
| GDP (current US\$) | 137278320084 | GDP (current US\$) | The World Bank 2018, Data , hompage accessed 20/04/2018 |
| EPI 2018 score (0-100) | 54.56 | Environmental Performance Index | |
| WGI -Voice and Accountability (0-100) | 47.62 | Water Governance Indicator | Kaufmann, Daniel and Kraay, Aart and Mastruzzi, Massimo, The Worldwide Governance Indicators: Methodology and Analytical Issues (September 2010). World Bank Policy Research Working Paper No. 5430. Available at SSRN: https://ssrn.com/abstract=1682132 |



| Indicator | Value | Description | Source |
|--|-------|----------------------------|--|
| WGI -Political stability no violence (0-100) | 13.30 | Water Governance Indicator | Kaufmann, Daniel and Kraay, Aart and Mastruzzi, Massimo, The Worldwide Governance Indicators: Methodology and Analytical Issues (September 2010). World Bank Policy Research Working Paper No. 5430. Available at SSRN: https://ssrn.com/abstract=1682132 |
| WGI - Government Effectiveness (0-100) | 51.44 | Water Governance Indicator | Kaufmann, Daniel and Kraay, Aart and Mastruzzi, Massimo, The Worldwide Governance Indicators: Methodology and Analytical Issues (September 2010). World Bank Policy Research Working Paper No. 5430. Available at SSRN: https://ssrn.com/abstract=1682132 |
| WGI - Regulatory Quality (0-100) | 51.92 | Water Governance Indicator | Kaufmann, Daniel and Kraay, Aart and Mastruzzi, Massimo, The Worldwide Governance Indicators: Methodology and Analytical Issues (September 2010). World Bank Policy Research Working Paper No. 5430. Available at SSRN: https://ssrn.com/abstract=1682132 |
| WGl - Rule of Law (0-100) | 34.62 | Water Governance Indicator | Kaufmann, Daniel and Kraay, Aart and Mastruzzi, Massimo, The Worldwide Governance Indicators: Methodology and Analytical Issues (September 2010). World Bank Policy Research Working Paper No. 5430. Available at SSRN: https://ssrn.com/abstract=1682132 |
| WGl - Control of Corruption (0-100) | 20.67 | Water Governance Indicator | Kaufmann, Daniel and Kraay, Aart and Mastruzzi, Massimo, The Worldwide Governance Indicators: Methodology and Analytical Issues (September 2010). World Bank Policy Research Working Paper No. 5430. Available at SSRN: https://ssrn.com/abstract=1682132 |



| Indicator | Value | Description | Source |
|--|-------|---------------------------------|--|
| WRI BWS all industries (0-5) | 4.02 | WRI Baseline Water Stress (BWS) | Gassert, F., P. Reig, T. Luo, and A. Maddocks. 2013. "Aqueduct country and river basin rankings: a weighted aggregation of spatially distinct hydrological indicators." Working paper. Washington, DC: World Resources Institute, December 2013. Available online at http://wri.org/publication/aqueduct-country- river-basin-rankings. |
| WRI BWS Ranking (1=very high) | 35 | WRI Baseline Water Stress (BWS) | Gassert, F., P. Reig, T. Luo, and A. Maddocks. 2013. "Aqueduct country and river basin rankings: a weighted aggregation of spatially distinct hydrological indicators." Working paper. Washington, DC: World Resources Institute, December 2013. Available online at http://wri.org/publication/aqueduct-country- river-basin-rankings. |
| Baseline Water Stress (BWS) - 2020 BAU (1=very high) | 17 | WRI country ranking | Luo, T., R. Young, and P. Reig. 2015. "Aqueduct projected water stress rankings." Technical note. Washington, DC: World Resources Institute, August 215. Available online at http://www.wri.org/publication/aqueduct- projected-water-stress-country-rankings. |
| Baseline Water Stress (BWS) - 2020 Optimistic (increasing rank describes lower risk) | 17 | WRI country ranking | Luo, T., R. Young, and P. Reig. 2015. "Aqueduct projected water stress rankings." Technical note. Washington, DC: World Resources Institute, August 215. Available online at http://www.wri.org/publication/aqueduct- projected-water-stress-country-rankings. |
| Baseline Water Stress (BWS) - 2020 Pessimistic (increasing rank describes lower risk) | 17 | WRI country ranking | Luo, T., R. Young, and P. Reig. 2015. "Aqueduct projected water stress rankings." Technical note. Washington, DC: World Resources Institute, August 215. Available online at http://www.wri.org/publication/aqueduct- projected-water-stress-country-rankings. |



| Indicator | Value | Description | Source |
|--|-------|---------------------|---|
| Baseline Water Stress (BWS) - 2030 BAU (increasing rank describes lower risk) | 17 | WRI country ranking | Luo, T., R. Young, and P. Reig. 2015. "Aqueduct projected water stress rankings." Technical note. Washington, DC: World Resources Institute, August 215. Available online at http://www.wri.org/publication/aqueduct- projected-water-stress-country-rankings. |
| Baseline Water Stress (BWS) - 2030 Optimistic (increasing rank describes lower risk) | 17 | WRI country ranking | Luo, T., R. Young, and P. Reig. 2015. "Aqueduct projected water stress rankings." Technical note. Washington, DC: World Resources Institute, August 215. Available online at http://www.wri.org/publication/aqueduct- projected-water-stress-country-rankings. |
| Baseline Water Stress (BWS) - 2030 Pessimistic (increasing rank describes lower risk) | 17 | WRI country ranking | Luo, T., R. Young, and P. Reig. 2015. "Aqueduct projected water stress rankings." Technical note. Washington, DC: World Resources Institute, August 215. Available online at http://www.wri.org/publication/aqueduct- projected-water-stress-country-rankings. |
| Baseline Water Stress (BWS) - 2040 BAU (increasing rank describes lower risk) | 20 | WRI country ranking | Luo, T., R. Young, and P. Reig. 2015. "Aqueduct projected water stress rankings." Technical note. Washington, DC: World Resources Institute, August 215. Available online at http://www.wri.org/publication/aqueduct- projected-water-stress-country-rankings. |
| Baseline Water Stress (BWS) - 2040 Optimistic (increasing rank describes lower risk) | 17 | WRI country ranking | Luo, T., R. Young, and P. Reig. 2015. "Aqueduct projected water stress rankings." Technical note. Washington, DC: World Resources Institute, August 215. Available online at http://www.wri.org/publication/aqueduct- projected-water-stress-country-rankings. |
| Baseline Water Stress (BWS) - 2040 Pessimistic (increasing rank describes lower risk) | 21 | WRI country ranking | Luo, T., R. Young, and P. Reig. 2015. "Aqueduct projected water stress rankings." Technical note. Washington, DC: World Resources Institute, August 215. Available online at http://www.wri.org/publication/aqueduct- projected-water-stress-country-rankings. |



| Indicator | Value | Description | Source |
|---|---------|------------------------------|---|
| Total water footprint of national consumption (m3/a/cap) | 2376.35 | WFN Water Footprint Data | Mekonnen, M.M. and Hoekstra, A.Y. (2011) National water footprint accounts: The green, blue and grey water footprint of production and consumption, Value of Water Research Report Series No. 50, UNESCO-IHE, Delft, the Netherlands.http://www.waterfootprint.org/Rep orts/Report50-NationalWaterFootprints-Vol1.pdf |
| Ratio external / total water footprint (%) | 5.66 | WFN Water Footprint Data | Mekonnen, M.M. and Hoekstra, A.Y. (2011) National water footprint accounts: The green, blue and grey water footprint of production and consumption, Value of Water Research Report Series No. 50, UNESCO-IHE, Delft, the Netherlands.http://www.waterfootprint.org/Rep orts/Report50-NationalWaterFootprints-Vol1.pdf |
| Area equipped for full control irrigation: total (1000 ha) | 1200.00 | Aquastat - Irrigation | FAO. 2016. AQUASTAT website. Food and Agriculture Organization of the United Nations (FAO). Website accessed on 2018/04/13 |
| Area equipped for irrigation: total (1000 ha) | 2066.00 | Aquastat - Irrigation | FAO. 2016. AQUASTAT website. Food and Agriculture Organization of the United Nations (FAO). Website accessed on 2018/04/13 |
| % of the area equipped for irrigation actually irrigated (%) | 61.23 | Aquastat - Irrigation | FAO. 2016. AQUASTAT website. Food and Agriculture Organization of the United Nations (FAO). Website accessed on 2018/04/13 |
| Electricity production from hydroelectric sources (% of total) | 7.86 | World Development Indicators | The World Bank 2018, Data , hompage accessed 20/04/2018 |
| Total internal renewable water resources (IRWR) (10^9 m3/year) | 64.35 | Aquastat - Water Ressources | FAO. 2016. AQUASTAT website. Food and Agriculture Organization of the United Nations (FAO). Website accessed on 2018/04/13 |
| Total internal renewable water resources (IRWR) (10^9 m3/year) | 44.06 | Aquastat - Water Ressources | FAO. 2016. AQUASTAT website. Food and Agriculture Organization of the United Nations (FAO). Website accessed on 2018/04/13 |
| Water resources: total external renewable (10^9 m3/year) | 64.35 | Aquastat - Water Ressources | FAO. 2016. AQUASTAT website. Food and Agriculture Organization of the United Nations (FAO). Website accessed on 2018/04/13 |



| Indicator | Value | Description | Source |
|---|---------|-----------------------------|--|
| Total renewable water resources (10^9 m3/year) | 108.40 | Aquastat - Water Ressources | FAO. 2016. AQUASTAT website. Food and Agriculture Organization of the United Nations (FAO). Website accessed on 2018/04/13 |
| Dependency ratio (%) | 40.64 | Aquastat - Water Ressources | FAO. 2016. AQUASTAT website. Food and Agriculture Organization of the United Nations (FAO). Website accessed on 2018/04/13 |
| Total renewable water resources per capita (m3/inhab/year) | 6150.00 | Aquastat - Water Ressources | FAO. 2016. AQUASTAT website. Food and Agriculture Organization of the United Nations (FAO). Website accessed on 2018/04/13 |
| World happiness [0-8] | 5.79 | WorldHappinessReport.org | World Happiness Report, homepage accessed 20/04/2018 |



Country Aspects

1. PHYSICAL ASPECTS

1.1.WATER RESOURCES

1.1.1.WATER RESOURCES

Four major hydrologic regions can be distinguished in Kazakhstan, depending on the final destination of water: the Arctic Ocean through the Ob River, the Caspian Sea, the Aral Sea and internal lakes, depressions or deserts.

The total IRSWR of Kazakhstan is thus estimated at 69.32km³/year, while the total incoming flow from neighbouring countries is estimated at 34.19km³/year. The outflow to the Russian Federation is estimated at 38.8km³/year, while the total outflow to the Aral and Caspian seas is estimated at 1.5 and 5km³/year respectively.

The annual renewable groundwater resources of Kazakhstan are estimated at 35.87km³/year (1993), of which 29.77km³/year corresponds to the overlap with the surface water resources. The total ARWR can thus be estimated at 109.61km³/year. In 1993, the part of groundwater resources which could be extracted from existing pumping facilities was estimated at 6.1km³/year.

About 1.3km³ of Caspian Sea water is desalinated by the Mangistau nuclear power plant (1993), mainly for industrial purposes and to supply water to the cities of Mangistau and Novi Uzen.

In 1993, the return flow within Kazakhstan amounted to 8.62km³/year, including 6.79km³/year of agricultural drainage water and 1.83km³/year of domestic and industrial wastewater. The main part of the return flow, about 6.78km³/year, flows back to rivers. About 1.57km³/year is directed to natural depressions, and 0.27km³/year is directly re-used for irrigation. In the Syr Darya River basin, about 1.2km³/year of return flow flows back to rivers while 0.7km³/year is directed to natural depressions.

The Caspian Sea is the largest lake in the world. Its level is presently subject to important variations. In the last decade, the Caspian Sea level has risen by about 2m, which has resulted in waterlogging in towns and villages, and the loss of agricultural land. On the other hand, the Aral Sea has been affected by a dramatic decrease in its level and volume, mainly due to irrigation development upstream. This has resulted in environmental problems, which have been tentatively addressed by the Central Asia Interstate Commission on Water Coordination.

There are more than 17,000 natural lakes in Kazakhstan, with a total area of about 45,000km² and a total volume of water estimated at about 190km³. Salinity varies from 0.12g/litre in east Kazakhstan to 2.7g/litre in the central part of the country. More than 4,000 lakes are listed as saline. The largest lakes are Lake Balkhash, with an area of 18,000km² and a volume of 112km³; Lake Zaisan, with an area of about 5,500km²; and Lake Tengiz, with an area of 1,590km². Irrigation development in the last 20 years in the basin of the Ili River, which flows into Lake Balkhash, has

led to ecological problems in the region, notably the drying up of small lakes. For the whole country, it is estimated that about 8,000 small lakes have dried up in the recent past due to overexploitation of water resources.

The main natural depression is the Arnasay depression where Lake Aydarkul, with a capacity of 30km³, was created artificially with water released from the Chardara reservoir and with the return flow from the Hunger steppe irrigated land which is shared with Uzbekistan.

More than 180 water reservoirs have been constructed in Kazakhstan, for a total capacity of 88.75km³. There are 19 large ones, with a capacity higher than 0.1km³ each, accounting for 95 per cent of the total capacity. Most of them are multipurpose: hydropower production, irrigation, and flood control. The largest reservoirs are the Bukhtarma reservoir on the Irtysh River, with a total capacity of 49km³; the Kapchagay reservoir on the II River in the Balkhash basin, with a total capacity of 28.1km³; and the Chardara reservoir on the Syr Darya River at the border with Uzbekistan with a total capacity of 5.7km³.

The gross theoretical hydropower potential of Kazakhstan is estimated at 110,000GWh/year and the economically feasible potential at about 35,000GWh/year. The total installed capacity of the hydropower plants exceeds 3GW. Hydro-electricity represents 12 per cent of total electricity generation of the country, which meets only 85 per cent of the total electricity demand, the remainder being imported from neighbouring countries.

1.1.2. WATER USE

In 1993, the total annual water withdrawal was estimated at 33.67km3, of which more than 80 per cent was for agricultural purposes. After a regular increase in water withdrawal till the mid-1980s, there has been a slight decrease during the last decade, mainly in the agricultural sector due to the adoption of water conservation methods, and in the industrial sector, due to the decline in the sector since independence.

Groundwater is mainly used in the Irtysh River basin (0.6km³/year), in the Lake Balkhash basin (0.5km³/year) and in the Syr Darya River basin (0.5km³/year).

1.2. WATER QUALITY, ECOSYSTEMS AND HUMAN HEALTH

Major environmental problems are radioactive or toxic chemical sites associated with former defence industries (test ranges scattered throughout the country pose health risks for humans and animals); industrial pollution is severe in some cities; because the two main rivers that flowed into the Aral Sea have been diverted for irrigation, it is drying up and leaving behind a harmful layer of chemical pesticides and natural salts, these substances are then picked up by the wind and blown into noxious dust storms; pollution in the Caspian Sea; soil pollution from overuse of agricultural chemicals and salination from poor infrastructure and wasteful irrigation practices. In 1993, about 2,420km³ (10.5 per cent) of the irrigated areas were classed as saline by Central



Asian standards (toxic ions exceed 0.5 per cent of total soil weight). These areas are mainly concentrated in the south of the country.

2. GOVERNANCE ASPECTS

2.1.WATER INSTITUTIONS

The State Committee for Water Resources of the Republic of Kazakhstan (SCWR) is responsible for maintaining and operating the existing inter-farm system for delivery of irrigation and rural drinking water through regional and district water resources committees. It is responsible for inter-sector and inter-provincial water allocation and for defining national policies on water quality and the protection of water resources. It administers international river systems with respect to water sharing. It supervises the eight national River Basin Water Organizations, which are the Aral-Syr Darya, Balkhash-Alakol, Irtysh, Ishim, Nura-Sarysu, Tobol-Turgay, Ural-Caspian and Chu-Talas BWOs.

The Ministry of Agriculture is in charge of agricultural research and extension, and on-farm agricultural and land reclamation development. This ministry is also responsible for the monitoring of drainage, waterlogging and soil salinity conditions for the major irrigation projects in the five southern provinces.

The Ministry of Municipal Affairs is in charge of domestic water supply and wastewater treatment, while the management of the main water supply network at the provincial and inter-provincial levels falls within the mandate of the SCWR.

The Ministry of Geology and Protection of Underground Resources, the Ministry of Ecology and Biological Resources, and the Hydrometeorological Service are also involved in the water sector.

2.2.WATER MANAGEMENT

Kazakhstan is very much concerned about water quality. At international level, Kazakhstan collaborates with the Russian Federation on this issue for the Irtysh, Ishim, Tobol and Ural rivers. Kazakhstan is also working with Azerbaijan, Iran and the Russian Federation on the Caspian Sea waters. Here the issues include oil extraction, boundary definitions, fisheries and the proposal for a programme to address the rising level of the Caspian Sea.

Kazakhstan's Caspian lowland is directly affected by the rising level of the Caspian Sea. The economic and environmental consequences of this rise are numerous. Kazakhstan is asking its neighbours and the international community to take or finance mitigating measures to protect coastal areas, agricultural areas and human settlements from flooding. The creation of levees, dams and polders are among the measures envisaged. On the other hand, Kazakhstan is also concerned about the drying up of the Aral Sea.

The national water strategy, which has been prepared recently within the framework of the regional water strategy, has defined the main objectives of the country which are:

-improvement of the water quality;

-supply of clean drinking water to the population;

-optimization of the flow regime for the transboundary resources; and

-implementation of measures to stop the drying up of the Aral Sea, particularly its northern part. This last objective comprises the rehabilitation of the Syr Darya delta in order to stabilize the coastal zone; increasing the Syr Darya River capacity, notably downstream of the Chardarya reservoir where the capacity is a constraint; construction of a dam (Berg Strait) to stabilize and increase the level of the northern part of the Aral Sea.

The government is interested in privatizing the operation and maintenance (O&M) of the interfarm systems. Although the on-farm system of O&M was the responsibility of the farm, the funds were previously provided by the state. Because these funds are no longer available, maintenance of on-farm facilities has been neglected. Sprinkler irrigation, covering about 6,670km³ in 1990, fell to about 5,500km³ in 1993. According to a World Bank report, almost 6,800km³ of irrigated land are out of use because of soil salinization; waterlogging; incomplete distribution systems; improper farming practices; limited inputs such as fertilizers and fuel; and in some instances, lack of water. To address this problem, the government has initiated, on a pilot basis, the transfer of the responsibility for water management to WUAs, which are semi-autonomous. This process will be implemented with the privatization of the irrigated land. The World Bank and the Asian Development Bank will assist the government in this initiative.

2.3.WATER POLICY AND LEGAL FRAMEWORK

The Water Code, adopted on 31 March 1993, provides the framework for the regulation of domestic, industrial and agricultural water use, ensuring the respecting of environmental water requirements. It also opens the way for the introduction of a market economy in irrigated agriculture, since it allows the creation of WUAs at the inter-farm level and the privatization of the district water organizations. Irrigation infrastructure (on-farm networks, inter-farm secondary networks, and equipment/machinery) may also be privatized.

3. GEOPOLITICAL ASPECTS

International agreements have addressed the water allocation issues between Kazakhstan and its neighbours:

-For the Syr Darya River, the existing principles governing water sharing among the Central Asian countries will remain valid (Agreement of 18 February 1992) until the adoption of a new water strategy for the Aral Sea basin, endorsed by the Interstate Commission for Water Coordination. Under the 1992 Agreement, the part of the Syr Darya surface water resources allocated to Kazakhstan has to be no less than 10km3/year downstream of the Chardara reservoir. Considering the 4.5km³/year of internal surface water resources generated in the Kazakh part of the Syr Darya River basin, it can be considered that the actual surface water resources in the Kazakh part of the Syr Darya basin are about 14.5km³/year.

-For the Chu and Talas rivers, flowing in from the Kyrgyz Republic, an interstate agreement has been reached with the Kyrgyz Republic (May 1992). This agreement addresses the water allocation issues between both republics, considering the total resources generated in the basin (including



surface water, groundwater and return flow) and taking into account the water evaporated from the lakes and reservoirs. On average, it can be considered that the part of the surface water resources allocated to Kazakhstan is 1.24km³/year for the Chu basin and 0.79km³/year for the Talas and Assa river basin.